

# A global blended drought Index (BDI) from merging satellite observations and land surface model simulations

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# Outline



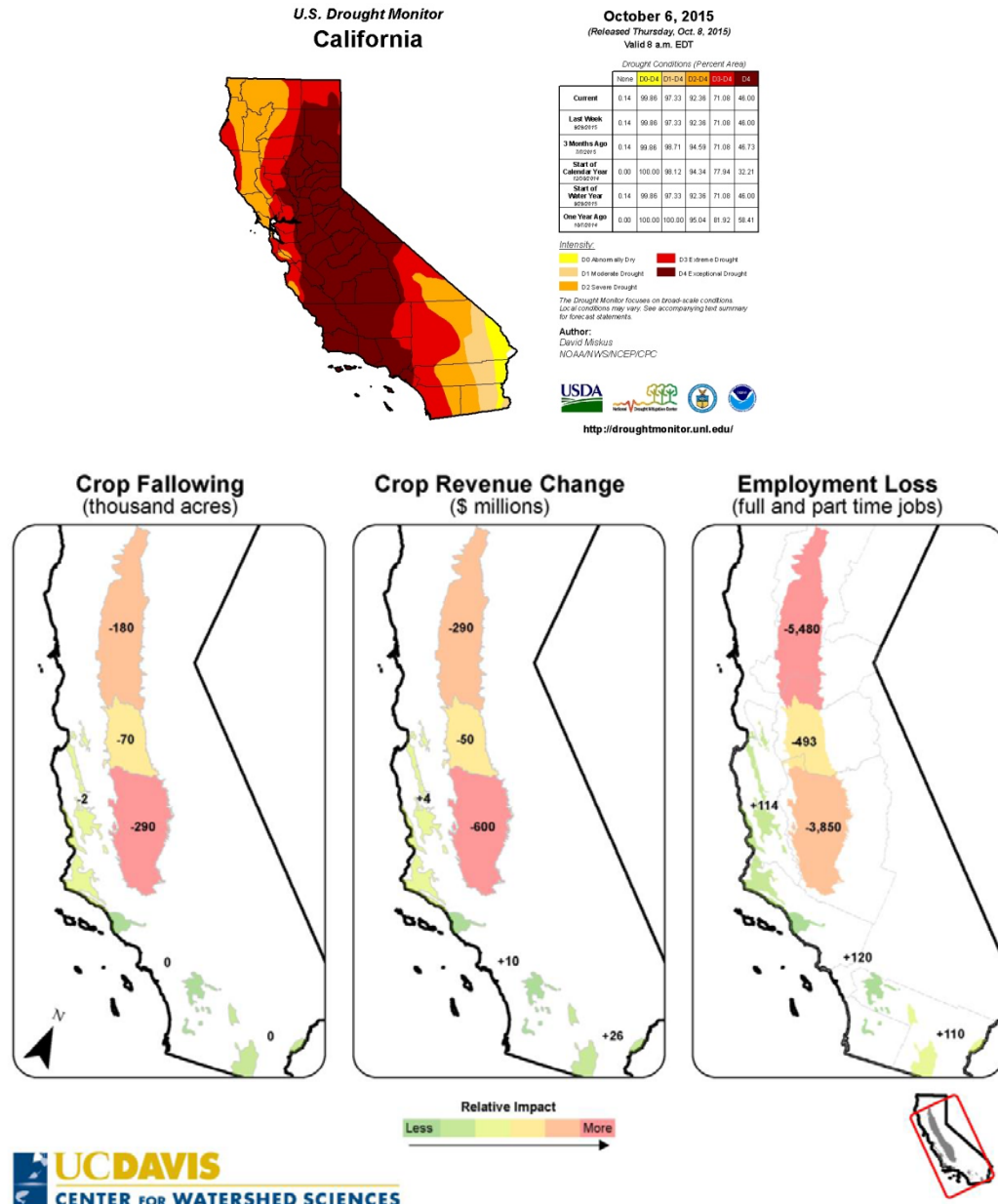
- *Motivation*
- *Data Sources*
- *Triple Collocation Error Model*
- *Blended Drought Index (BDI)*
- *Validations*
- *Drought Evaluations*
- *summary*

# 1 Motivation



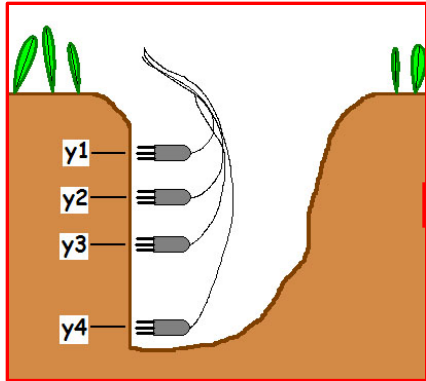
Of all natural disasters, the economic and environmental consequences of drought are among the most serious, due to its longevity varying from weeks to decades and widespread spatial extent.

5/19/2016

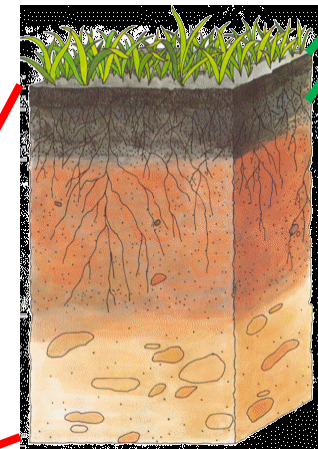
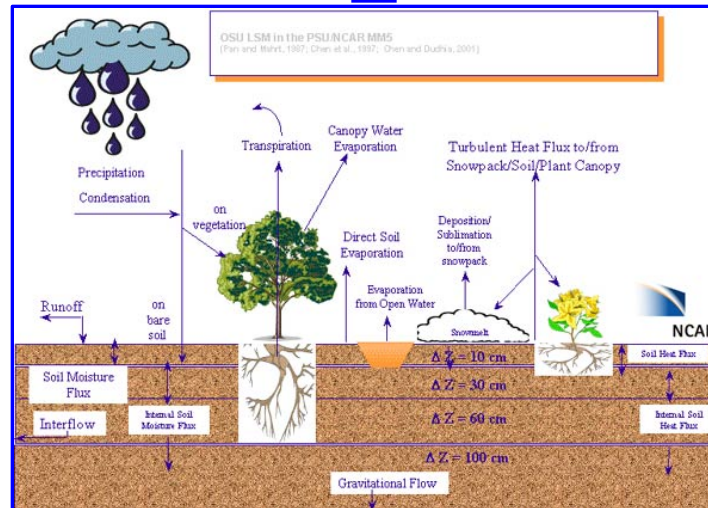


# 1 Motivation

Agricultural drought is the soil moisture deficit where crop water demands could not be met. The soil moisture statuses at various soil layers are the most important indicator of agricultural drought.

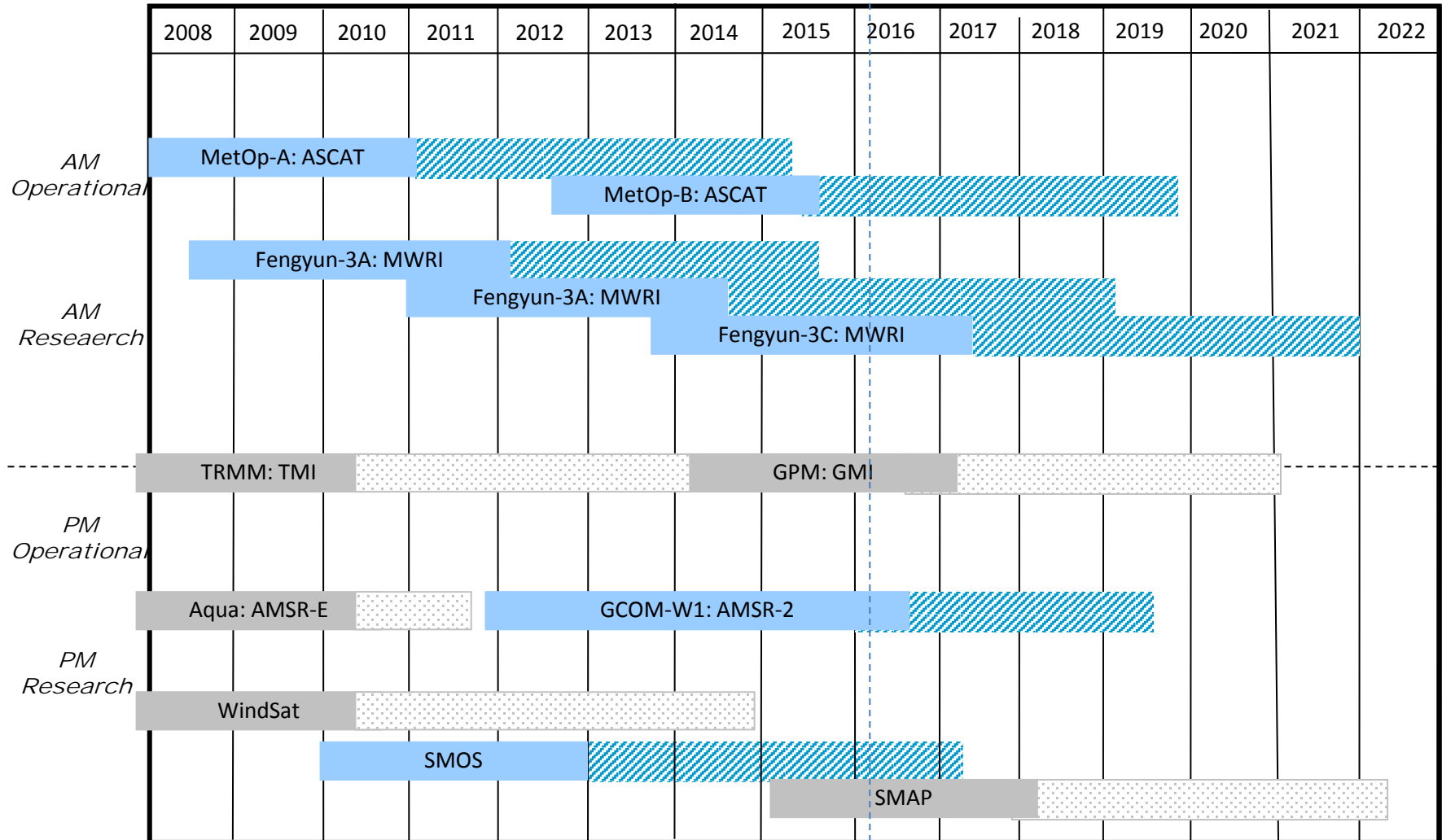


*Spatially consistent estimates of the SM state can't be observed*



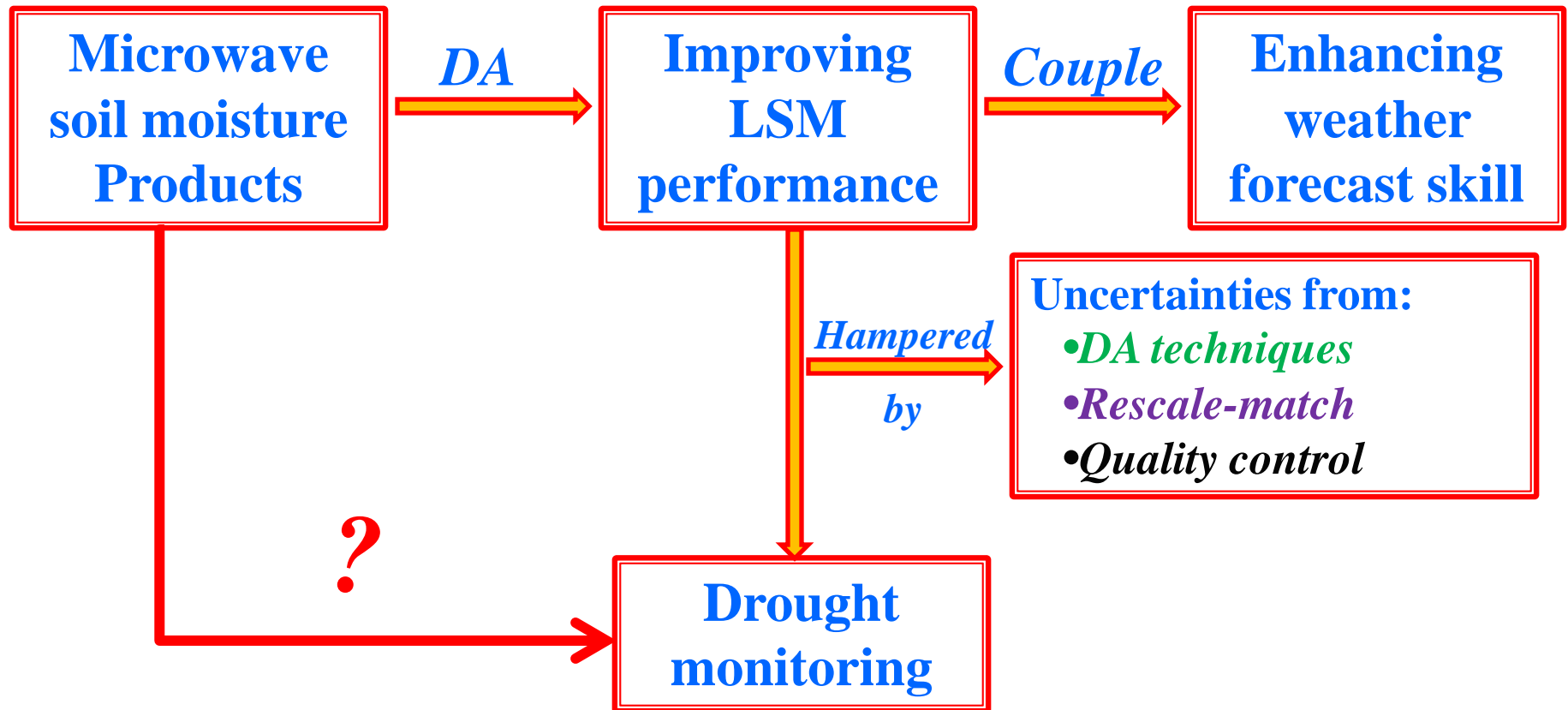
# 1 Motivation

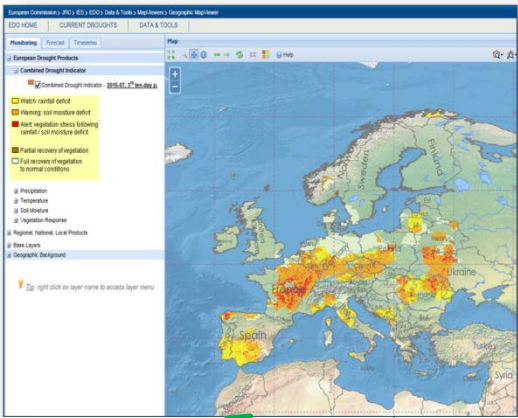
## Current and Future Soil Moisture Satellites:



# 1 Motivation

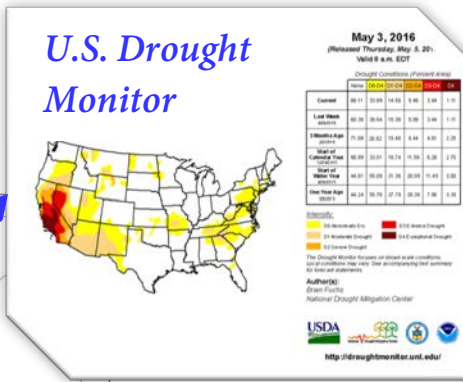
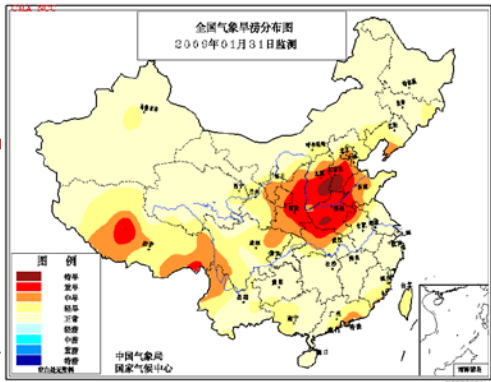
*How to improve drought monitoring capability using the Remote Sensing Soil Moisture Products?*





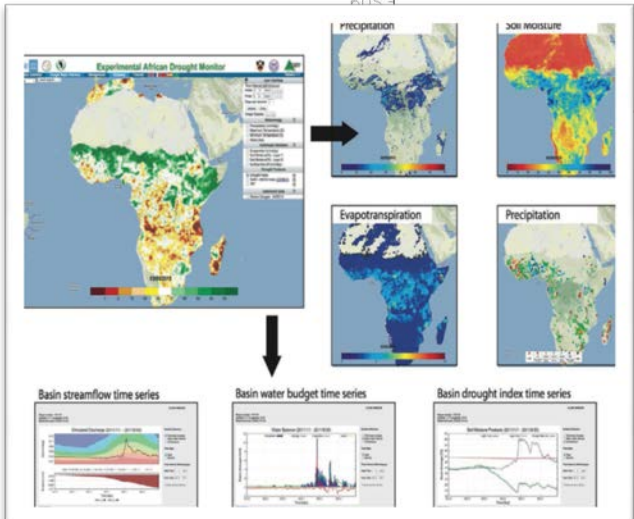
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## China Drought and Flood Monitor



## European Drought Observatory

## African Drought Monitor and Forecast System

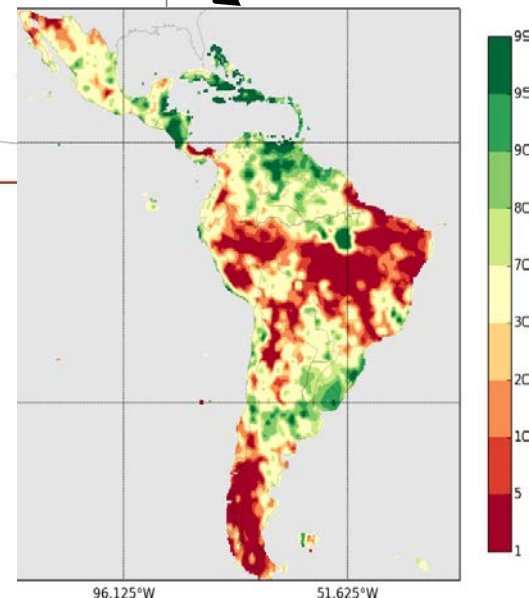


Operational drought monitoring systems typically based on *precipitation measurements, modeled soil moisture status, and ET/vegetation situations with building their weights.*

How to develop a blended drought index:

- ✓ Merging satellite SM retrievals;
- ✓ With optimal information;
- ✓ Without rescaling-matching to each other;
- ✓ At global scale?

## Latin American and Caribbean Flood and Drought Monitor



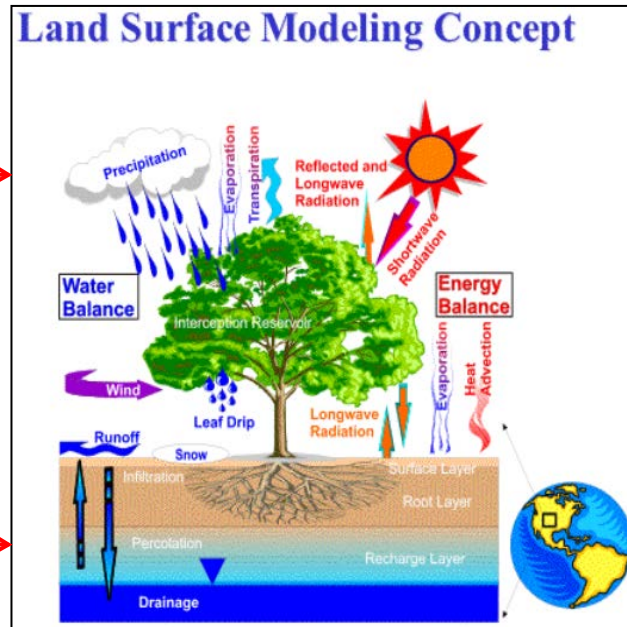
# 2 Data Sources

*Noah 3.2 land surface modeling SM (NLSM):*

## Noah3.2 LSM implemented in LIS 7.1

**3-hourly 25 km GDAS:**  
downward SW/LW radiation;  
near-surface  $t_{\text{air}}$  / RH/wind;  
surface Pressure.

**3-hourly 25 km GLDAS:**  
Precipitation.



2007-10 MODIS Collection-5 land cover map

8-day MODIS LAI-based green vegetation fraction (GVF)

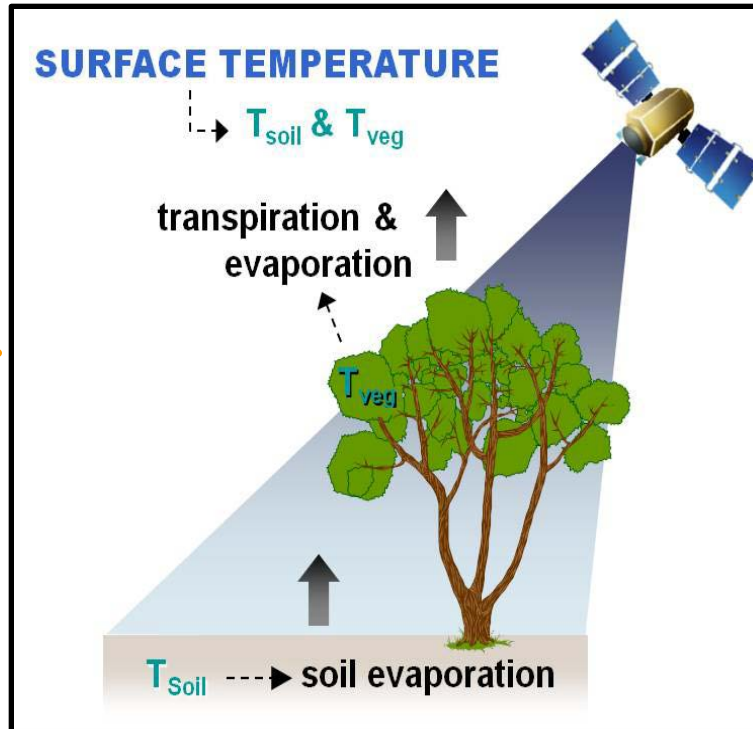
- The model is spun up by cycling 50 times through the period from 2001 to 2014;
- The integrations are conducted over the 2008-2014 period;
- Daily outputs at 25 km spatial resolution;
- Near-global domain (from -60°S, -180°W to 90°N, 180°E);



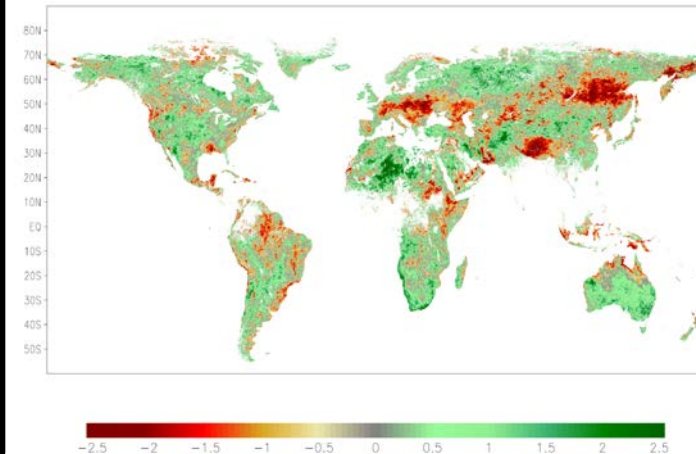
# 2 Data Sources

*ALEXI model-based Evaporative Stress Index (ESI):*

Thermal  
infrared  
(TIR) satellite  
Land Surface  
Temperature  
(LST)



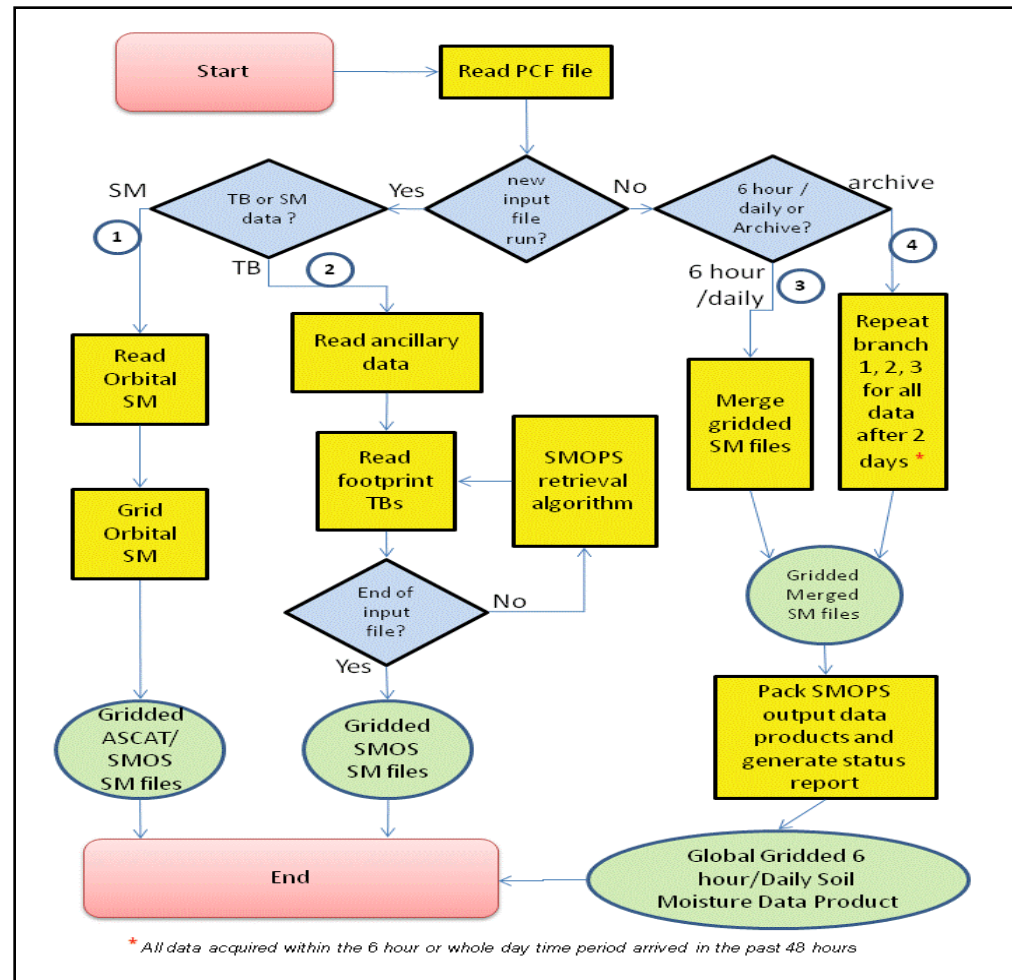
Weekly 4-week ESI composites  
on global domain (spatial  
resolution of 25-km) over 2008-  
2014 period



Atmosphere Land Exchange  
Inverse (ALEXI) model

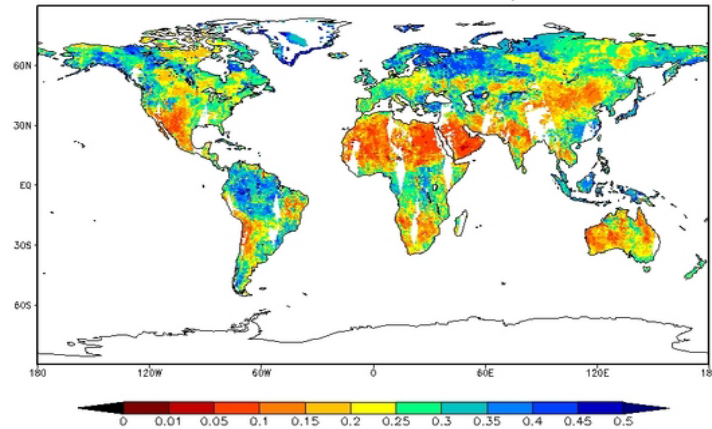
# 2 Data Sources

## Microwave SM products (MWSM):



NOAA-NESDIS SMOPS Algorithm Process Flow.

NOAA SMOPS Blended Soil Moisture: Daily – 20160509



Daily 25 km global microwave SM retrievals include:

- 2011-2014 Soil Moisture and Ocean Salinity (SMOS);
- 2008-2014 Advanced Scatterometer (ASCAT);
- 2008-2014 WindSat;
- 2008-2014 Soil Moisture Operational Product System-blended (SMOPS).

# 2 Data Sources

## U.S. Drought Monitor CONUS

**February 4, 2014**  
(Released Thursday, Feb. 6, 2014)  
Valid 7 a.m. EST

Drought Conditions (Percent Area)

	None	D0-D4	D1-D4	D2-D4	D3-D4	D4
<b>Current</b>	43.45	56.55	37.38	20.84	7.37	0.88
<b>Last Week</b> 1/28/2014	43.75	56.25	36.49	20.76	7.24	0.83
<b>3 Months Ago</b> 10/5/2013	44.88	55.12	32.24	17.45	2.93	0.34
<b>Start of Calendar Year</b> 1/2/12/013	48.24	51.76	30.95	16.67	3.96	0.37
<b>Start of Water Year</b> 10/1/2013	39.57	60.43	41.21	20.70	3.06	0.29
<b>One Year Ago</b> 2/5/2013	32.03	67.97	58.84	40.13	19.10	6.85

### Intensity:



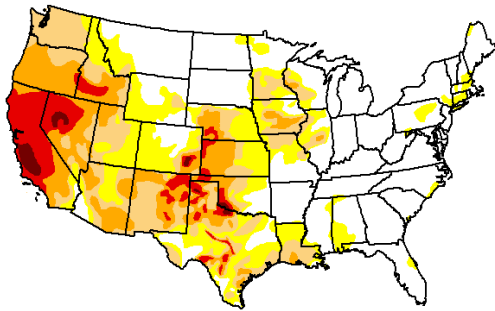
The Drought Monitor focuses on broad-scale conditions. Local conditions may vary. See accompanying text summary for forecast statements.

### Author(s):

Anthony Aitusa  
NOAA/NWS/NCEP/CPC

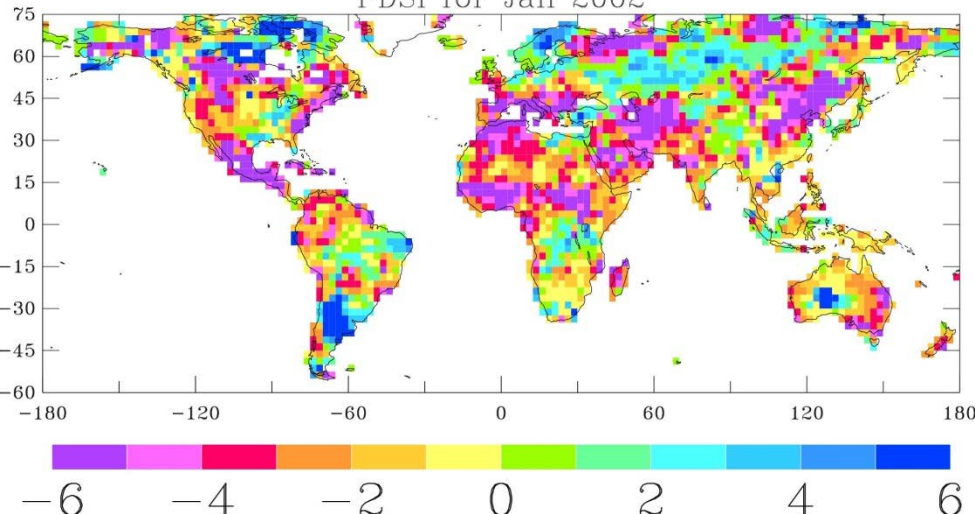


<http://droughtmonitor.unl.edu/>



The *weekly United States Drought Monitor* (USDM) data sets from 2008 to 2014 are used to validate the BDI performance on Contiguous United States (CONUS) domain.

PDSI for Jan 2002



The global BDI drought monitoring capabilities are also validated by the *monthly Palmer Drought Severity Index* (PDSI, Dai et al., 2004, 2013) *standard anomalies (against 1985-2014 means)* at 2.5 degree spatial resolution within 2008-2014 period.

# 3 Triple Collocation Error Model



**All of the SM retrievals were processed as:**

*(1) Temporal composited over 4-week intervals;*

*(2) The climatology of soil moisture data sets is generated by assembling the variable values for a particular calendar week across all years;*

*(3) Calculated the standardized anomalies ( $\psi$ ) for week  $w$ , year  $y$ , and  $i, j$  grid location*

$$\psi(w, y, i, j) = \frac{X(w, y, i, j) - \overline{X(w, i, j)}}{\sigma_X(w, i, j)}$$

*where  $\overline{X}$  and  $\sigma_X$  indicate climatology and climatological standard deviation.*

# 3 Triple Collocation Error Model



*The proposed TCEM will only result in meaningful error estimates if the three data sets represent the same physical quantity.*

Three separate datasets that provide 25 km grid-scale drought estimations:

- (1) the NLSM**, which is *subject to errors in the model representation and in the meteorological forcing data*;
- (2) the ALEXI model-based ESI**, which *does not use any precipitation input, but is sensitive to the accuracy of the thermal infrared (TIR) satellite LST and other model inputs* (e.g., vegetation cover, available energy)
- (3) and the MW SM products**, which are *influenced by instrument noise and uncertainty in microwave emission modeling*, especially as vegetation cover increases.

# 3 Triple Collocation Error Model



According to the previous studies (Janssen et al., 2007; Scipal et al., 2008), we have

$$SA_{MWSM} = \Pi + \mu$$

$$SA_{ESI} = \Pi + \omega$$

$$SA_{NLSM} = \Pi + \rho$$

where  $\Pi$  indicates the true SM status, and  $\mu, \omega$  and  $\rho$  denotes the unknown errors in the MWSM, ESI and NLSM cases. Then the RMSE values ( $\xi$ ) for each case are given by (Stoffelen, 1998; Scipal et al., 2008; Miralles et al., 2010)

$$\xi_{MWSM} = (\psi_{MWSM} - \psi_{ESI})(\psi_{MWSM} - \psi_{NLSM}) = \mu^2$$

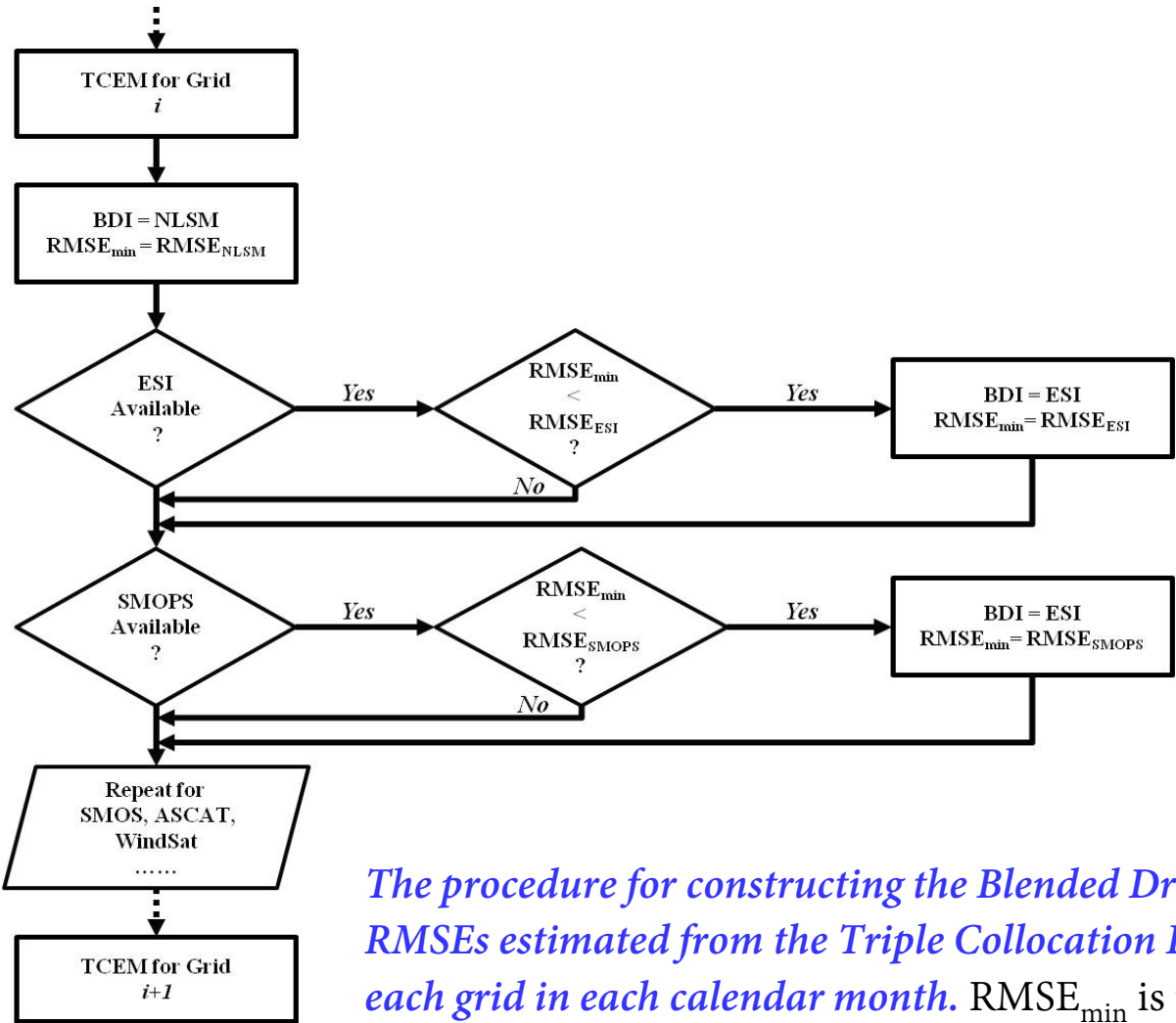
$$\xi_{NLSM} = (\psi_{NLSM} - \psi_{ESI})(\psi_{NLSM} - \psi_{MWSM}) = \omega^2$$

$$\xi_{ESI} = (\psi_{ESI} - \psi_{NLSM})(\psi_{ESI} - \psi_{MWSM}) = \rho^2$$

**under the assumptions that the three data sets don't have any correlations:**

$$\mu\rho = 0, \quad \mu\omega = 0, \quad \rho\omega = 0$$

# 4 Blended Drought Index (BDI)

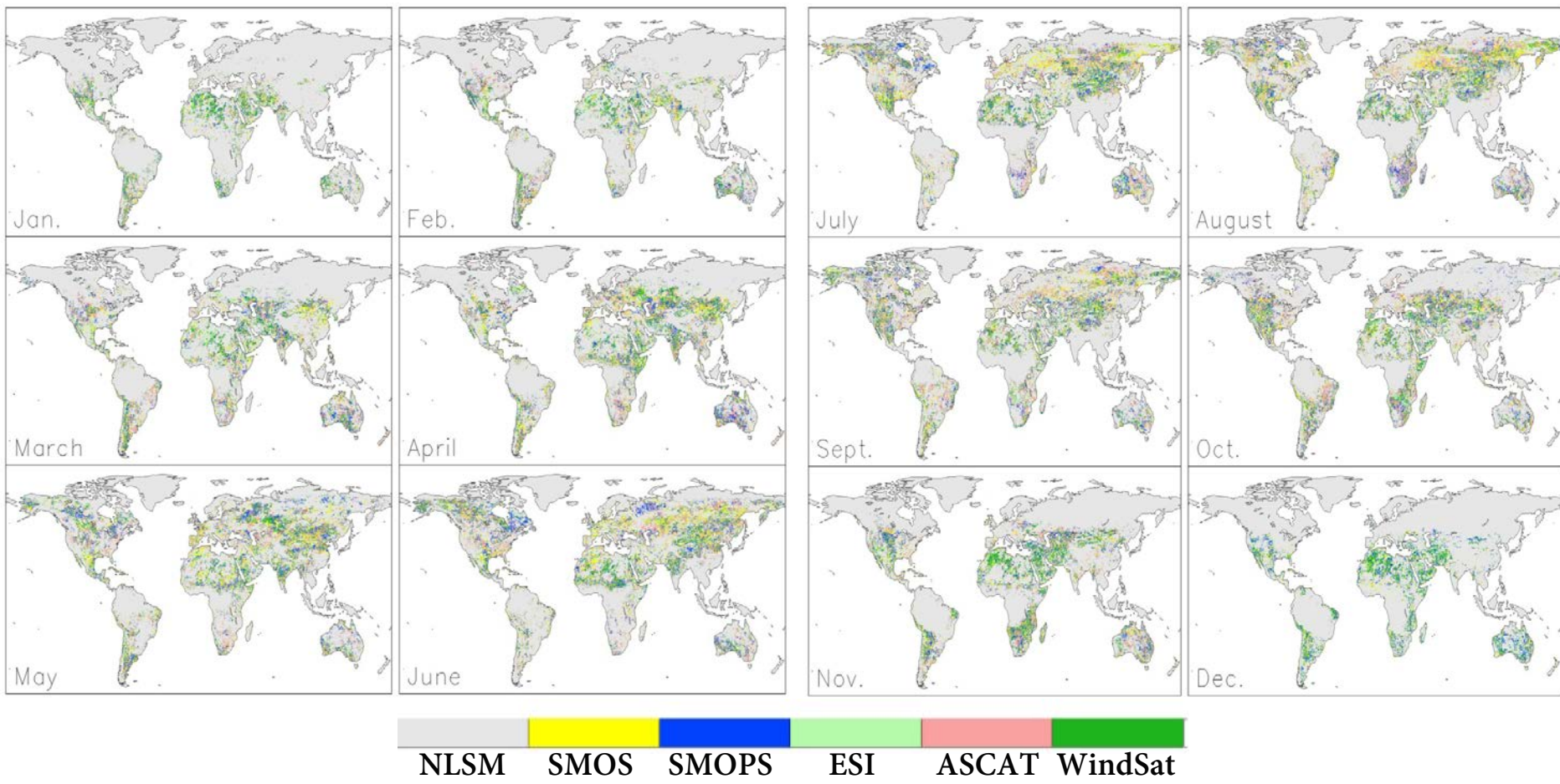


The procedure for constructing the Blended Drought Index (BDI) using the RMSEs estimated from the Triple Collocation Error Model implemented for each grid in each calendar month.  $RMSE_{min}$  is the minimum RMSE for a grid. And  $RMSE_{SMOPS}$ ,  $RMSE_{NLSM}$  and  $RMSE_{ESI}$  are the monthly RMSE values for soil moisture data sets from SMOPS, NLSM and ESI cases, respectively.

# 4 Blended Drought Index (BDI)



*Spatial distributions of BDI compositions.*





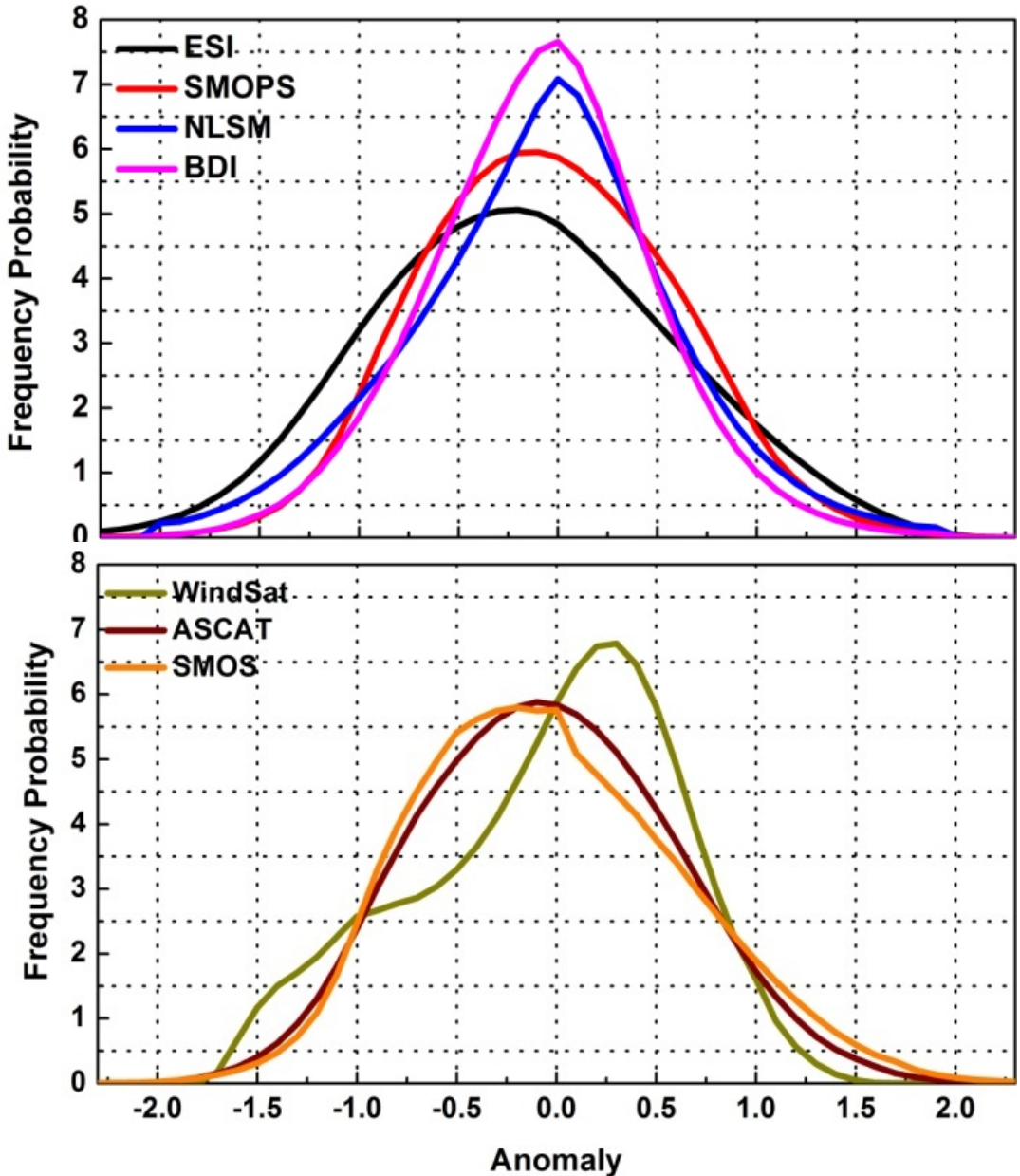
# 4 Blended Drought Index (BDI)



*Based on TCEM-based RMSE, monthly percentage (%) for terrestrial grids filled by the drought estimation signals from each of 6 retrievals.*

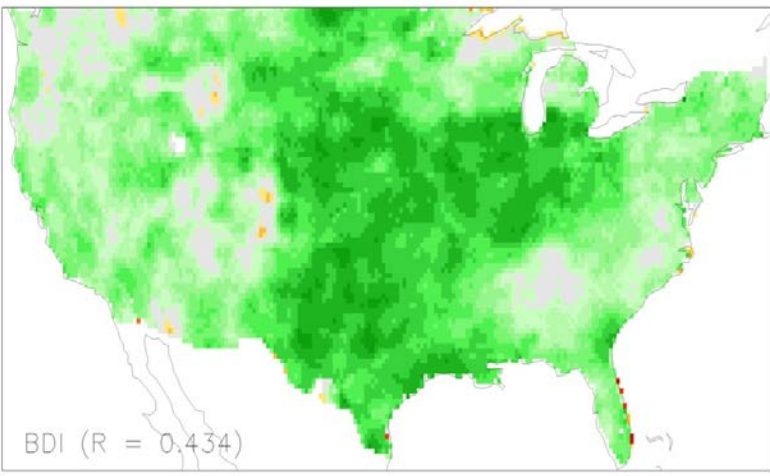
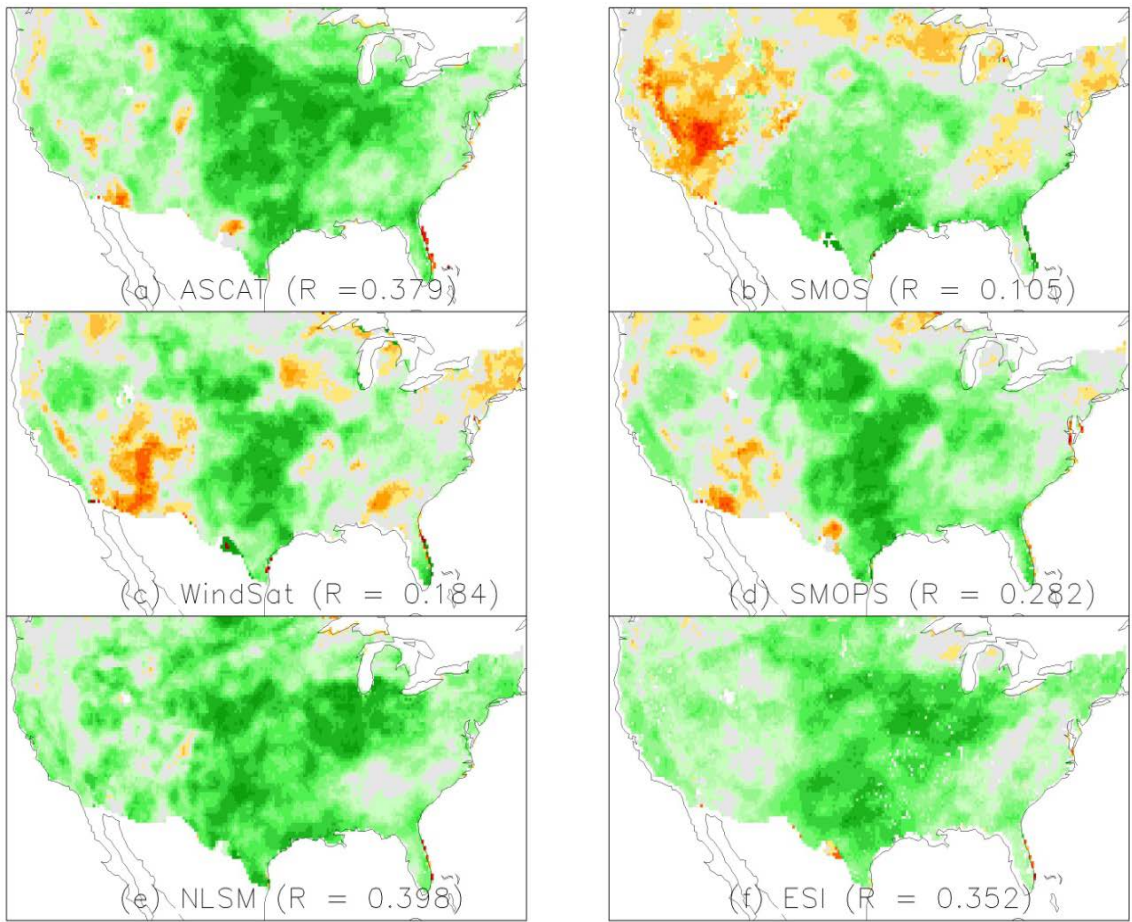
Month	<b>NLSM</b>	<b>ESI</b>	SMOS	SMOPS	ASCAT	WindSat	<b>Sum for MWSM</b>
January	<b>88.01</b>	<b>0.97</b>	2.60	2.68	2.77	2.97	<b>11.02</b>
February	<b>85.50</b>	<b>1.45</b>	2.89	3.10	4.14	2.92	<b>13.05</b>
March	<b>79.37</b>	<b>2.34</b>	4.17	4.46	6.17	3.49	<b>18.29</b>
April	<b>74.99</b>	<b>2.36</b>	5.41	5.51	7.59	4.15	<b>22.65</b>
May	<b>71.29</b>	<b>2.92</b>	6.73	6.64	8.09	4.34	<b>25.79</b>
June	<b>70.14</b>	<b>2.92</b>	6.93	6.61	9.17	4.24	<b>26.94</b>
July	<b>68.35</b>	<b>3.40</b>	7.21	6.30	10.34	4.40	<b>28.25</b>
August	<b>66.49</b>	<b>2.78</b>	7.47	6.60	11.56	5.08	<b>30.72</b>
September	<b>69.51</b>	<b>2.26</b>	6.21	6.43	10.68	4.91	<b>28.23</b>
October	<b>71.36</b>	<b>2.07</b>	5.55	6.64	9.55	4.83	<b>26.57</b>
November	<b>76.85</b>	<b>1.85</b>	4.61	5.55	6.43	4.72	<b>21.30</b>
December	<b>84.86</b>	<b>1.51</b>	4.03	5.26	0.00	4.33	<b>13.63</b>

# 5 Validations

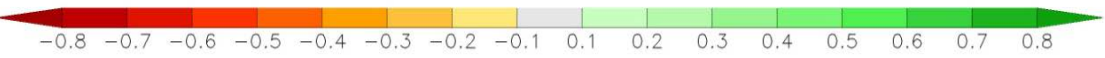


*Global domain-averaged frequency probability (%) as a function of drought estimations for the ESI (dark line), NLSM (blue line), BDI (pink line), SMOPS (red line), SMOS (orange line), ASCAT (wine line) and WindSat (blue line) SM products.*

# 5 Validations

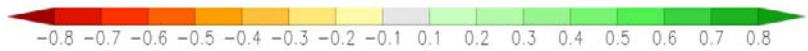
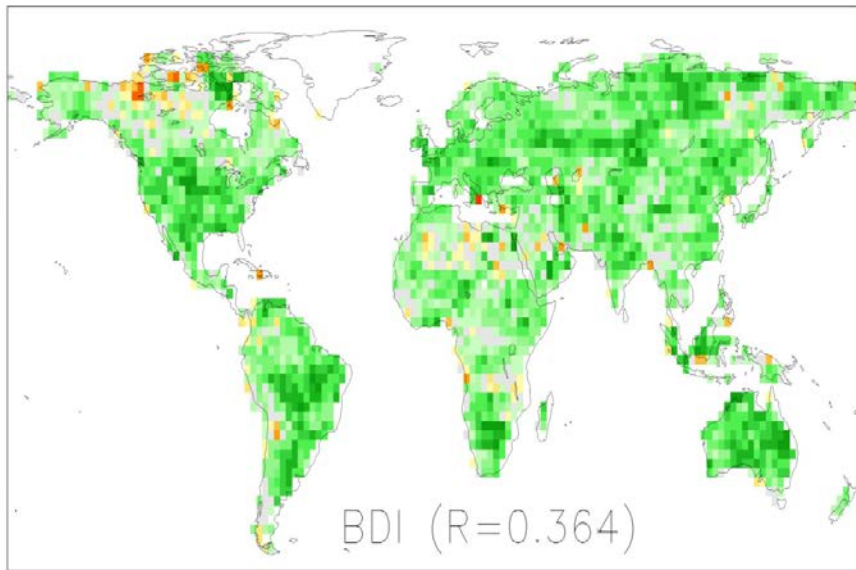
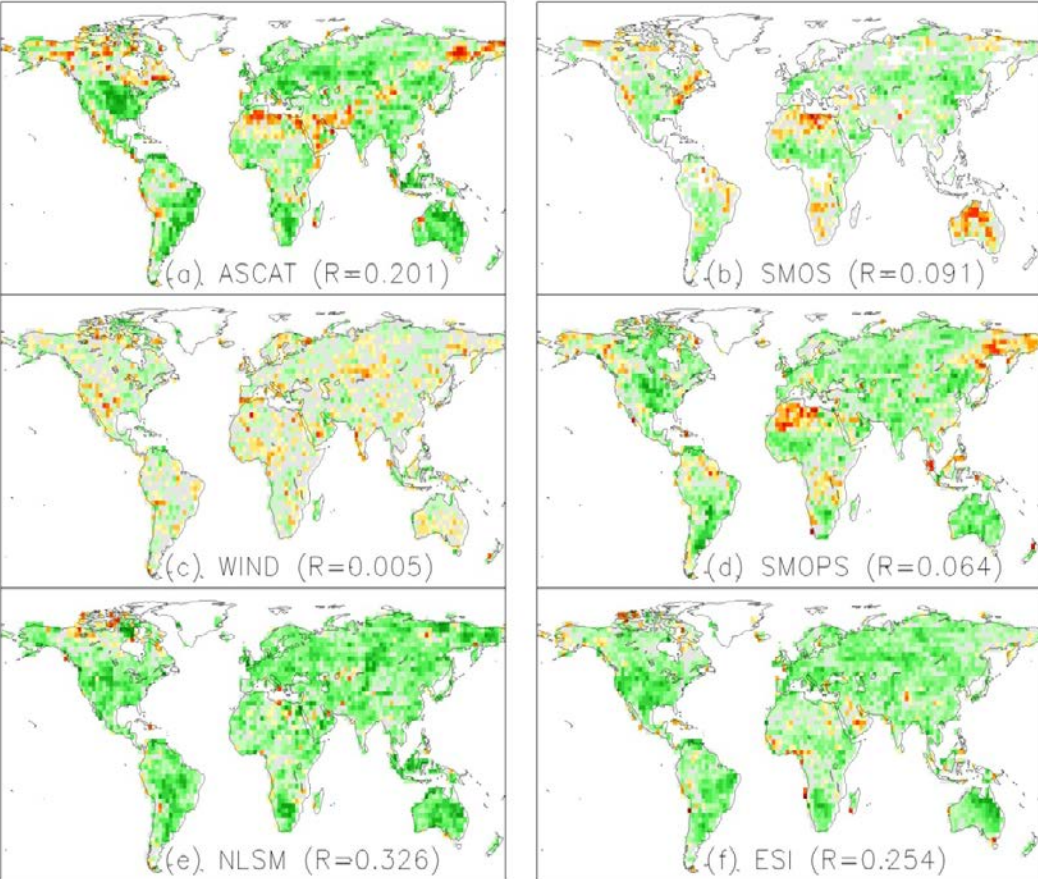


*Correlation coefficients (R) between USDM and BDI over 2008-2014 period. The grey color indicates insignificantly.*



*Correlation coefficients (R) between USDM and (a) ASCAT, (b) SMOS, (c) WindSat, (d) SMOPS, (e) NLSM and (f) ESI. The grey color indicates insignificantly.*

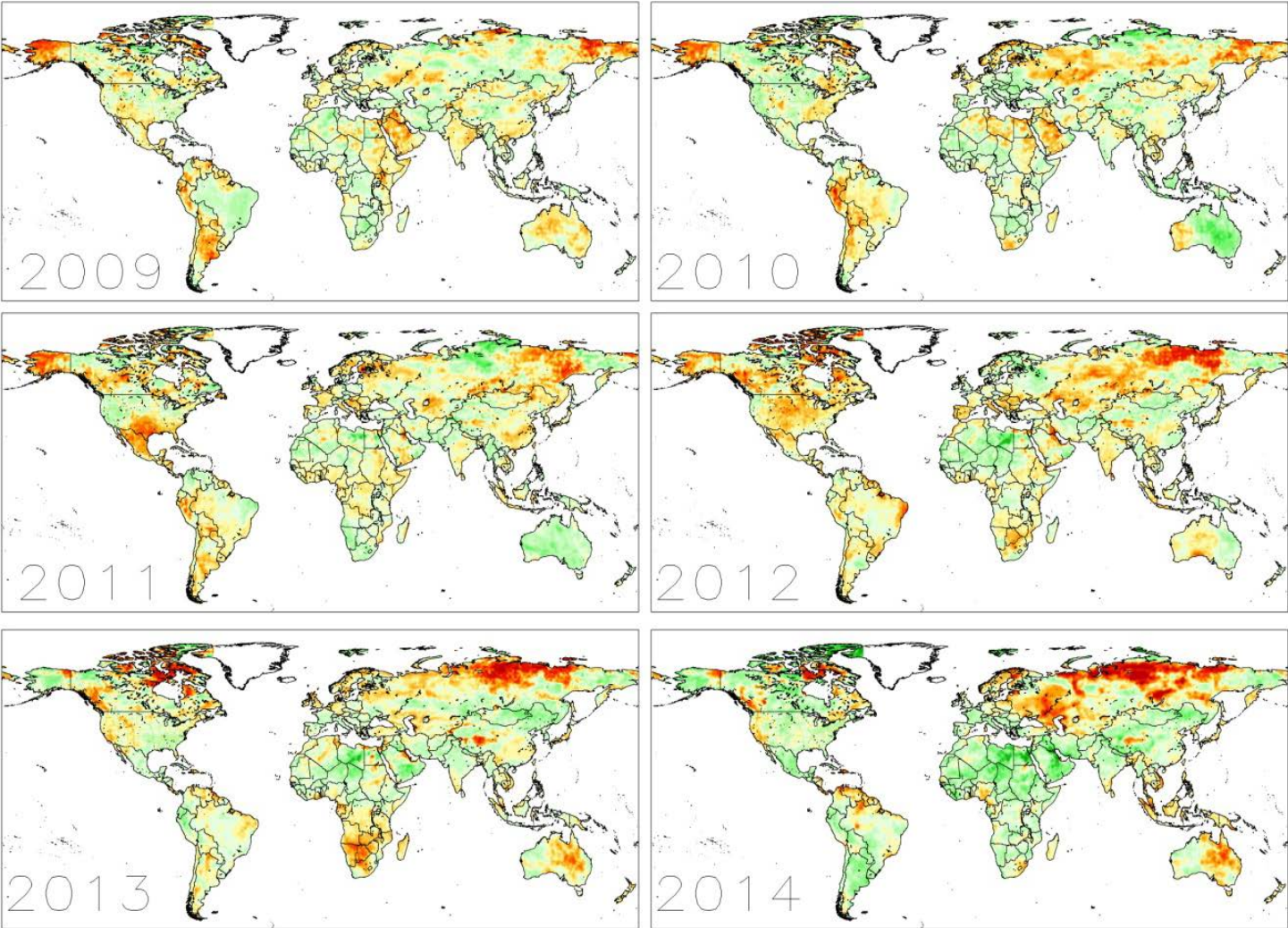
# 5 Validations



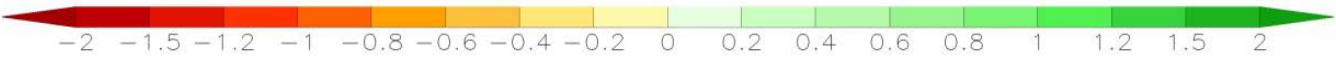
*Correlation coefficients (R) between PDSI standard anomalies (against 1985-2014 averages) and BDI over 2008-2014 period. The grey color indicates insignificantly.*

*Correlation coefficients (R) between PDSI standard anomalies (against 1985-2014 averages) and drought estimations for (a) ASCAT, (b) SMOS, (c) WindSat, (d) SMOPS, (e) NLSM and (f) ESI cases. The grey color indicates insignificantly.*

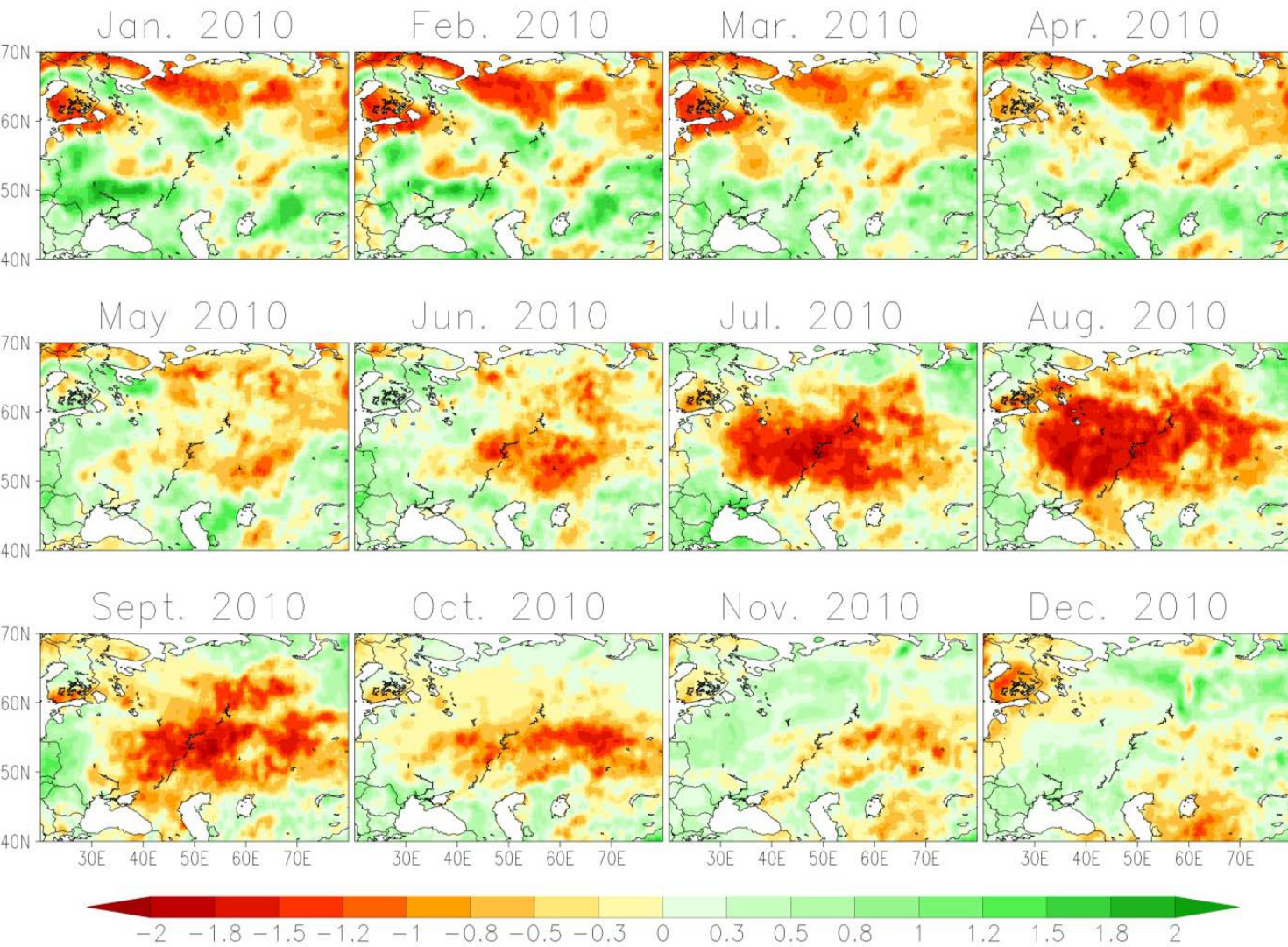
# 6 Drought Evaluations



*Annual global terrestrial BDI patterns over 2009-2014 period. The BDI ranges from negative (red) to positive (green) values indicate for dry to wet conditions.*

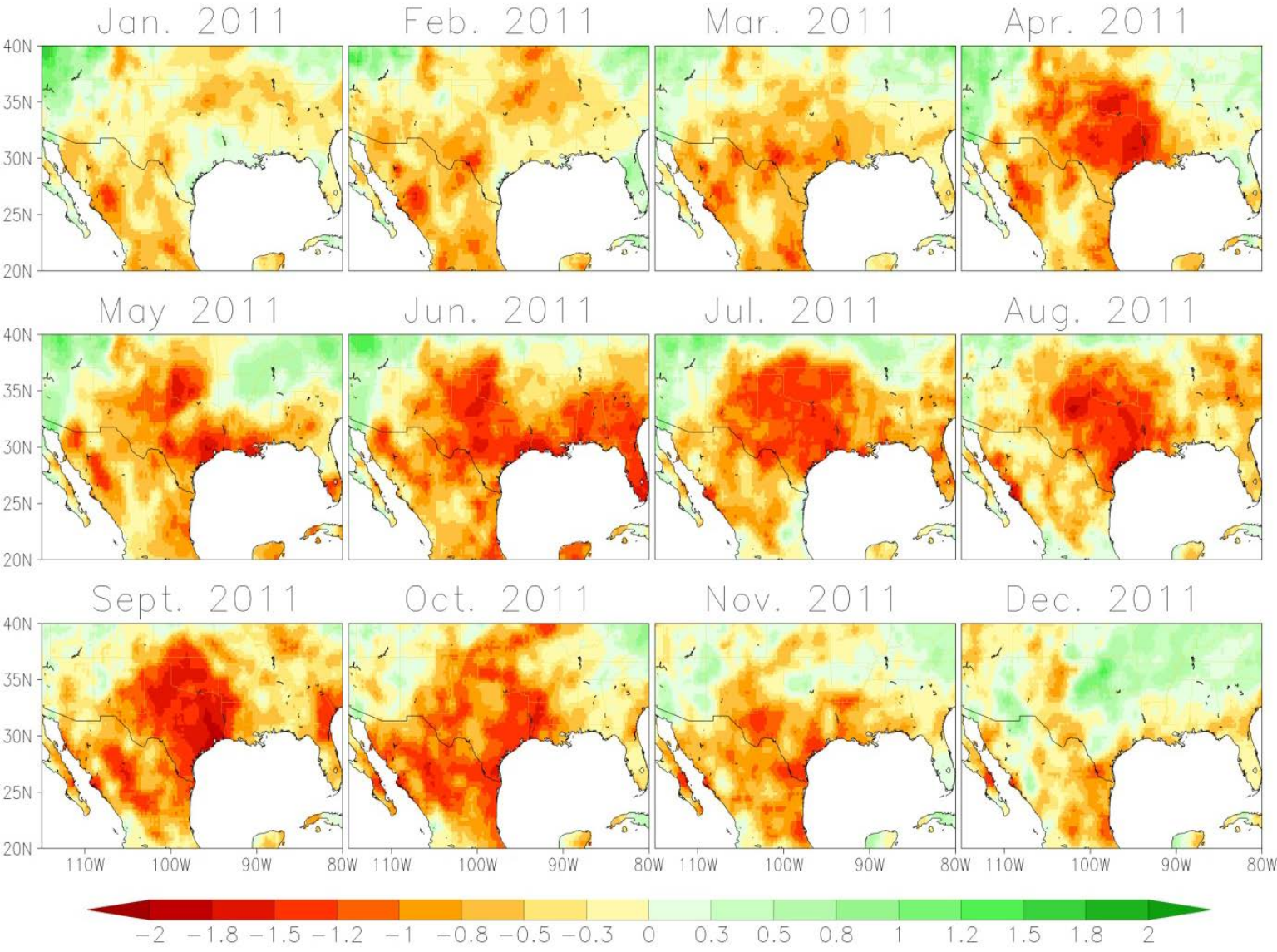


# 6 Drought Evaluations



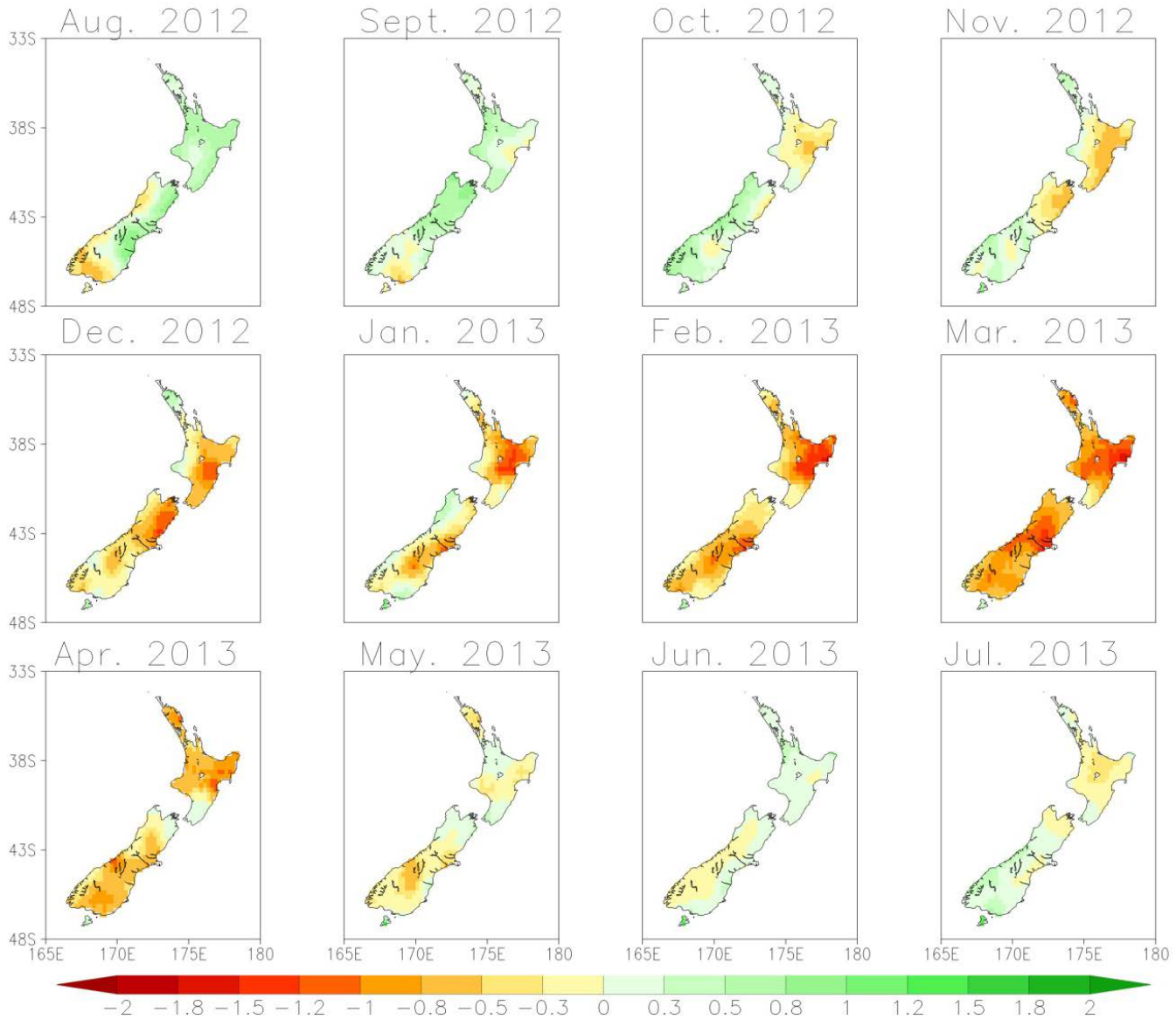
*BDI-based monthly drought monitoring on the sub-region (from 40°N, 20°E to 70°N, 80°E) domain in 2010.*

# 6 Drought Evaluations



*BDI-based monthly drought monitoring on the sub-region (from 25°N, -115°W to 40°N, -90°W) domain in 2011.*

# 6 Drought Evaluations



*BDI-based monthly drought monitoring across the New Zealand (from 48°S, 165°E to -33°S, 180°E) domain from August 2012 to July 2013.*



- BDI can perform well in comparison with its compositions (such as ASCAT, ESI, NLSM and the like), and *can reasonably track the time evolution of drought patterns recorded in the USDM and PDSI.*
- In addition to operational insights, *the BDI is a sustainable developed indicator with merging more available agricultural drought evaluations* that can respect to the TCEM assumptions.
- Based on the on-line land surface model and real time satellite land surface temperature and soil moisture, *the BDI can highlight timely drought monitoring, which is essential for decision-making and in turn reducing drought risk and influence.*
- Certainly, *the BDI can characterize the high spatial resolution monitoring at regional- and global- scales* using 1 km model output (Yin *et al*, 2015a) and 100 m ESI (Anderson *et al*, 2014) signals.

*Thanks!*

**Questions/Comments ??**